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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: Douglas S. Ransom et al.

Appln. No.: 10/752,467

Filed: January 5, 2004

For: SYSTEM AND METHOD FOR  
SECURING ENERGY  
MANAGEMENT SYSTEMS

Examiner: P. Rodriguez

Art Unit: 2125

BHGL Ref. No.: 6270/105

PML Ref. No.: 300105

FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(c), Applicants hereby cite the following reference(s):

No.	Date of Publication	Patentee/Applicant/Assignee
US 2003/0110302	06/12/03	Hodges et al.
US 2003/0081790	01/05/03	Kallahalla et al.
WO 03/107585 A1	12/24/03	Brique et al.
WO 03/107296 A2	12/24/03	Freeman
WO 03/107153 A2	12/24/03	Phinney
WO 01/33801 A2	05/10/01	Schuster
WO 00/42731	07/20/00	Butnaru et al.
US 6,061,451	05/09/00	Muratani et al.

"High-Security SCADA LDRD Final Report", Rolf Carlson, April 2002 (2002-04), pages 1-20, XP002375457, Albuquerque, New Mexico, Livermore, California,  
URL: [www.sandia.gov/scada/documents/020729](http://www.sandia.gov/scada/documents/020729)

Applicants are enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). Herein included with this Information Disclosure Statement are two (2) copies of the International Search Reports from related cases. The Search Reports include a category for each of the cited documents stating the documents' relevance. Additionally, the search reports list the relevant sections of the documents. The foreign language document of Brique is relevant to novelty. The foreign language documents

Schuster, and Butnaru are listed as defining the general state of the art which is not considered to be of particular relevance.

Applicants respectfully request the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

By submitting this Statement, Applicants are attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

For purposes of 37 C.F.R. §1.704(d), Applicants certify that each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart application, and that this communication was not received by any individual designated in 37 C.F.R. §1.56(c) more than thirty days prior to the filing of this Statement (a copy of any foreign communication first citing a listed reference is attached for the Examiner's reference).

Applicants have calculated a processing fee in the amount of \$180.00 to be due under 37 C.F.R. §1.17(p) in connection with the filing of this Statement. Applicants have enclosed a check covering this fee, or authorized charging the fee to a deposit account or credit card, as indicated in the Transmittal accompanying this Statement.

Respectfully submitted,

May 10, 2006

Date



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Attorney for Applicants



FORM OTO-1449	SERIAL NO. 10/752,467	CASE NO. 6270/105
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT</b> (use several sheets if necessary)	FILING DATE January 5, 2004	GROUP ART UNIT 2125
APPLICANT: Douglas S. Ransom		

REFERENCE DESIGNATION			U.S. PATENT DOCUMENTS			
EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	E1	US 2003/0110302	06/12/03	Hodges et al.		
	E2	US 2003/0081790	01/05/03	Kallahalla et al.		
	E3	US 6,061,451	05/09/00	Muratani et al.		

FOREIGN PATENT DOCUMENTS						
EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	E4	WO 03/107585 A1	12/24/03	Brique et al.		
	E5	WO 03/107296 A2	12/24/03	Freeman et al.		
	E6	WO 03/107153 A2	12/24/03	Phinney		
	E7	WO 01/33801 A2	5/10/01	Schuster		
	E8	WO 00/42731	07/20/00	Butnaru et al.		

EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS <small>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.</small>	
	E9	"High-Security SCADA LDRD Final Report", Rolf Carlson, April 2002 (2002-04), pages 1-20, XP002375457, Albuquerque, New Mexico, Livermore, California, URL:www.sandia.gov/scada/documents/020729

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.